International Foundation for Retirement Education

CRC® CERTIFICATE HOLDER'S STATEMENT (CHS)

Check the appropriate "Yes" or "No" response to the statements below.

Only indicate "Yes" for any incidents related to statements that you have <u>not</u> previously reported and disclosed information.

	Yes	No
❑ I have been charged with or convicted of a felony.	____	____
❑ I have been charged with or convicted of a misdemeanor (exclude minor traffic violations).	____	____
❑ I have had a civil judgement entered against me.	____	____
❑ I have been the subject of an inquiry or investigation with respect to my professional conduct by my employer, a regulatory authority, or another professional designation **(include any FINRA/SEC disclosures since your most recent CHS submission).**	____	____

For any "YES" answer, please explain the facts that determined the outcome of the referenced action below and attach any appropriate back-up documentation.

I understand that continued compliance with the *CRC®* Code of Ethics is mandatory. I further understand that I must meet all other requirements of a Certificate Holder including, but not limited to, all continuing education requirements and fees. I understand that if I fail to comply with any of the foregoing conditions, my designation and all associated rights will be revoked.

I have read the Certificate Holder's Statement and understand the items set forth in it, as well as the items in preceding sections of this form. I further declare that my statements (including any required attachments) are true and complete to the best of my knowledge and freely given.

_____ _____ _____
Name (Print or Type) Signature Date

_____
Address

If you have answered "YES" to any of the above statements, provide an explanation and attach any appropriate back-up documentation.

_____
_____
_____
_____
_____
_____